





### Flat-band superconductivity

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Some of the codes available at https://gitlab.jyu.fi/jyucmt/

Photo: Timo Sajavaara

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## Contents



- What I mean by flat bands
- Connection between superconducting order parameter and electronic dispersion



- Graphene-based examples
- Electron-phonon model (Eliashberg)

Not much "unconventional", but will discuss a degeneracy between s- and f-wave superconductivity





## **Example semimetal: graphene**





## (Simple) bilayer graphene

Semimetal with quadratic touching!







## **Towards flat bands**

Rhombohedral multilayer



Different kinds of semimetal dispersions: asymptotically towards flat bands, an almost equi-energy area  $\Omega_{FB}$  in momentum space



## **Towards flat bands**

Alternative:

#### (Twisted few-layer graphene and periodically strained graphene)



Flatness depends on a reference scale!



# **Adding interactions**

$$\begin{split} H &= H^{(1)} + H^{(2)} + \cdots \\ &= \sum_{\mathbf{k},\sigma,n} \epsilon_{\mathbf{k},n,\sigma} c_{\mathbf{k},n,\sigma}^{\dagger} c_{\mathbf{k},n,\sigma} + \sum_{\mathbf{k},\mathbf{k}',\mathbf{q},\sigma,\sigma'} V(\mathbf{q}) c_{\mathbf{k}+\mathbf{q},\sigma}^{\dagger} c_{\mathbf{k}'-\mathbf{q},\sigma'}^{\dagger} c_{\mathbf{k}',\sigma'} c_{\mathbf{k},\sigma} + \cdots \\ & \text{``One-body spectrum''} \\ \end{split}$$

Here, simplification (contact interaction):  $V(\mathbf{q}) = g = \text{const.}$ 

$$[g] = \text{energy} \cdot \text{length}^d$$



## **Connection to lattice models**

Take, for example, the (attractive) Hubbard model with on-site interaction:

$$H = \sum_{\sigma,i,j} h_{i,j} c_{i\sigma}^{\dagger} c_{j\sigma} + U \sum_{i} c_{i\uparrow}^{\dagger} c_{i\downarrow}^{\dagger} c_{i\downarrow} c_{i\uparrow}$$

Here:  $c_{i\sigma}$  can be a multiorbital vector

$$[U] = energy$$

$$U = g/A_c = g\Omega_{\rm BZ}/(2\pi)^d$$

Unit cell area

Volume of the 1st Brillouin zone



## **Correlated states from interactions**

$$H = H^{(1)} + H^{(2)} + \cdots$$
$$= \sum_{\mathbf{k},\sigma,n} \epsilon_{\mathbf{k},n,\sigma} c_{\mathbf{k},n,\sigma} c_{\mathbf{k},n,\sigma} + \sum_{\mathbf{k},\mathbf{k}',\mathbf{q},\sigma,\sigma'} V(\mathbf{q}) c_{\mathbf{k}+\mathbf{q},\sigma}^{\dagger} c_{\mathbf{k}'-\mathbf{q},\sigma'} c_{\mathbf{k}',\sigma'} c_{\mathbf{k},\sigma} + \cdots$$

"One-body spectrum"

"Two-body interactions"

Most commonly used approach: Hartree-Fock mean-field theory (Typically local interactions, on-site or nearest neighbour)

 $|\text{ground state}\rangle \approx \text{Fermi gas of a suitably defined operator} \\ \Rightarrow \text{ emergence of } mean \, fields$ 

 $\Rightarrow$  often associated with spontaneous symmetry breaking

# Superconductivity

Example of a broken-symmetry state

Order parameter:  $F_{\sigma\sigma'}(\vec{r}) = \langle \Psi_{\sigma}(\vec{r})\Psi_{\sigma'}(\vec{r}) \rangle$ 

Supercurrent:  $F = |F|e^{i\phi} \Rightarrow \vec{j}_s = D_s \nabla \phi$ 



# Superconductivity

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Unbroken Symmetry Broken Symmetry

BCS and Cooper instability: an arbitrarily weak attractive interaction between electrons leads to the superconducting state at T = 0

Bardeen, Migdal and Eliashberg (and others): attractive interaction due to electron-phonon coupling BCS limit: assume (almost) instantaneous interaction k+q

Picture sources: Phys. org, Wikimedia Commons

electron

# Mean field theory

Simplest superconducting dispersion:  $E(\vec{p}) = \pm \sqrt{\epsilon(\vec{p}) + \Delta^2}$ 

Free energy density of superconducting state as a function of the pair potential

$$F(\Delta) = \frac{\Delta^2}{g} - \frac{1}{\Omega} \sum_{\vec{p}} [E(\vec{p}) - \epsilon(\vec{p})]$$

Condensation energy Change in kinetic energy

Mean field  $\Delta$  at T = 0 obtained from the minimum of  $F(\Delta)$ 

$$\Delta = \frac{g}{\Omega} \sum_{\vec{p}} \frac{\Delta}{2\sqrt{\Delta^2 + \epsilon^2(\vec{p}))}} = \frac{g}{(2\pi)^d} \int^{p_c} d\vec{p} \frac{\Delta}{2\sqrt{\Delta^2 + \epsilon^2(\vec{p}))}}$$

Cutoff  $p_c$  (or  $E_c(p_c)$ ) (attractive interaction or the dispersion)

 $T_c \sim \Delta$ 

$$\Delta = \frac{|g|}{2} \int \frac{d^d p}{(2\pi\hbar)^d} \frac{\Delta}{E_{\mathbf{p}}(\Delta)}$$

Fermi surface:  $\int d^3p \to \int \nu(\epsilon)d\epsilon \approx \nu(\epsilon_F) \int d\epsilon$ 

 $\Delta = \epsilon_c e^{-1/(|g|\nu_F)} = 1.764T_c \quad \epsilon_c = \min(\omega_D, \delta E)$ 

Exp suppression!

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Exp suppression!

Linear dispersion:  $\epsilon^2 = v_F^2 p^2$  (2D case)

$$\Delta \sim \frac{g^2 - g_c^2}{gg_c^2} \qquad \qquad g_c = \pi^2 v_F^2 \hbar^2 / \epsilon_c \qquad \begin{array}{l} \mbox{Quantum critical point!} \\ g > g_c \end{array}$$

(Kopnin & Sonin, PRL 2009)

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Quantum critical point!  $g > g_c$ 

(Kopnin & Sonin, PRL 2009)

Flat band:  $\Delta \gg \epsilon(\vec{p})$  for  $p \in \Omega_{FB}$ 

 $\Delta \sim g \Omega_{\rm FB}$ 

#### Linear in g!

Kopnin, TTH, G.E. Volovik, PRB 83, 220503 (R) (2011)

 $\Delta = \frac{|g|}{2} \int \frac{d^d p}{(2\pi\hbar)^d} \frac{\Delta}{E_{\mathbf{p}}(\Delta)} \qquad \text{dimension of } \nu_F \colon 1/(\text{energy} \cdot \text{length}^d)$ Fermi surface:  $\int d^3 p \to \int \nu(\epsilon) d\epsilon \approx \nu(\epsilon_F) \int d\epsilon$  $\Delta = \epsilon_c e^{-1/(|g|}\nu_F) = 1.764T_c \qquad \epsilon_c = \min(\omega_D, \delta E)$ 

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Kopnin, TTH, G.E. Volovik, PRB 83, 220503 (R) (2011)



# Ok, but is it relevant?

- Where to find flat bands in real systems?
- Can they actually have non-vanishing supercurrent?
- How to describe the source of attractive interaction?
- What about other correlated phases?
- How to maximise the critical temperature?

# Graphene-based flat bands

• ABC stacked graphene

$$\Omega_{\rm FB} \sim \pi p_{\rm FB}^2 = \pi \left(\frac{\gamma_1}{v_F}\right)^2$$

Kopnin, TTH, G.E. Volovik, PRB **83**, 220503 (R) (2011); N.B. Kopnin, M. Ijäs, A. Harju, and TTH, PRB **87**, 140503(R) (2013)





# Bernal stacking of pairs of layers



Upper layer:





## From graphene to graphite



# Bernal stacking of pairs of layers

Upper layer:





## From graphene to graphite



# Strongest hopping only

Rule: couple A to B, but not B to A

Two possibilities (and anything in between):





# Rhombohedral multilayer



 $|\psi_{nA}(\mathbf{p})|^2$ 

 $|\psi_{nB}(\mathbf{p})|^2$ 

Low-energy spectrum: decaying surface states

 $\epsilon_p \sim \pm p^N$ 

Large N: flat band!



# Graphene-based flat bands

• ABC stacked graphene  $\Omega_{\rm FB} \sim \pi p_{\rm FB}^2 = \pi \left(\frac{\gamma_1}{v_F}\right)^2$ 





• Periodically strained graphene



TTH & G.E.Volovik, arXiv:1504.05824; Kauppila, Aikebaier, TTH, PRB 2016; Peltonen & TTH, JPCM 2020





# Graphene-based flat bands



 $||\Delta K||$ 

## Unconventional superconductivity in magic-angle graphene superlattices

Yuan Cao <sup>™</sup>, Valla Fatemi, Shiang Fang, Kenji Watanabe, Takashi Taniguchi, Efthimios Kaxiras & Pablo Jarillo-Herrero <sup>™</sup>



#### Isospin magnetism and spin-polarized superconductivity in Bernal bilayer graphene



Article | Published: 01 September 2021

#### Superconductivity in rhombohedral trilayer graphene

Haoxin Zhou, Tian Xie, Takashi Taniguchi, Kenji Watanabe & Andrea F. Young 🖂

Nature 598, 434-438 (2021) Cite this article



#### Evidence of flat bands and correlated states in buckled graphene superlattices

Jinhai Mao, Slaviša P. Milovanović, Miša Anđelković, Xinyuan Lai, Yang Cao, Kenji Watanabe, Takashi Taniguchi, Lucian Covaci, Francois M. Peeters, Andre K. Geim, Yuhang Jiang 🖂 & Eva Y. Andrei 🖂

Nature 584, 215-220(2020) | Cite this article

Fig. 1: Buckled structures in graphene membranes.



Signature of correlations, not superconductivity



## Twisted bilayer graphene



Possible to extend to twisted double bilayers etc.

TTH & T. Hyart, Europhysics News 50, 24 (2019)



### But wait!

If Fermi speed tends to zero, electrons become completely localised! How can they superconduct?

Superfluid weight  $D_s$  in  $\mathbf{j}_s = D_s \nabla \varphi$ Conventional superconductor:  $D_s = \frac{n_s}{m_{\text{eff}}}$ 

2D: transition at  $T = T_{BKT}, T_{BKT} = \frac{\pi}{8} \sqrt{\det D^s(T_{BKT})}$ 

BKT=Berezinskii-Kosterlitz-Thouless

For a trivial flat band,  $D_s=O$  and  $T_{BKT}=O$ 



#### Quantum geometry of the Bloch bands may change the superfluid weight in multiorbital systems



Multiorbital superconductor:

Bloch functions are vectors  $|\psi_{\mathbf{k}}\rangle = \begin{pmatrix} \chi_{\mathbf{k},A} \\ \chi_{\mathbf{k},B} \end{pmatrix}$ 



S. Peotta & P. Törmä, Nat. Commun. 6, 8944 (2015) Törmä, Peotta, Bernevig, Nat. Rev. Phys. (2022) K.E. Huhtinen, et al., PRB 106, 014518 (2022) ... and many others

# Bloch's theorem

- Position representation of the Hamiltonian:  $H(\vec{r}) = \langle \vec{r} | \hat{H} | \vec{r} \rangle$
- Lattice periodic Hamiltonian:  $H(\vec{r} + \vec{n} \cdot \vec{a}) = H(\vec{r}), \quad \vec{n} \in \mathbb{Z}^d, \vec{a} \in \mathbb{R}^d$
- Define  $H(\vec{k}) = \langle \vec{k} | \hat{H} | \vec{k} \rangle$
- Lattice periodicity requires that  $H(\vec{k} + \vec{n} \cdot \vec{b}) = H(\vec{k})$ , where  $\vec{b}_i \cdot \vec{a}_j = 2\pi \delta_{ij}$ .
- First Brillouin zone:  $\{\vec{k}\}_{1 \text{stBZ}} = \{\vec{k} \mod \vec{b}\} \cong T^d$
- $H(\vec{k})$ :  $T^d \mapsto \text{eigenvectors } |\epsilon_{n\vec{k}}\rangle$  and -values  $\epsilon_{n\vec{k}}$



# Berry phase

Cyclic adiabatic time evolution: H = H(X(t)), instantaneous eigenstate  $|\phi_n(X(t))\rangle$ State at time t:

$$|\Psi_{n}(t)\rangle = e^{i\gamma_{n}(t)}e^{-\frac{i}{\hbar}\int_{0}^{t}dt'\epsilon_{n}(X(t'))}|\phi_{n}(X(t))\rangle$$
  
geometric phase dynamic phase

# Geometric phase

Adiabatic changes of a wf with respect to a change in parameter X: Geometric phase

$$\gamma = \int_{C_X} \underbrace{-i\langle \psi | \nabla_X \psi \rangle}_{\vec{A}} \cdot d\vec{X}$$

Closed loop: Berry phase

Berry connection,

analogue of vector potential

$$\gamma_X = \oint_C \vec{A} \cdot d\vec{X} = \int_{S_C} \underbrace{\nabla \times \vec{A} dS}_{= \Omega, \text{ berry curvature}}$$

Chern number:  $n = \frac{-i}{2\pi} \int_{\text{closed surface}} \Omega dS \in \mathbb{Z}$ 

"Topological charge"



## Quantum geometric tensor

$$B_{\alpha,ij}(\mathbf{p}) = \langle \partial_i \psi_{\alpha} | (1_N - |\psi_{\alpha}\rangle \langle \psi_{\alpha}|) | \partial_j \psi_{\alpha} \rangle$$
  
= Tr[(\delta\_i P\_{\alpha})(1 - P\_{\alpha})(\delta\_j P\_{\alpha})] F

$$P_{\alpha} = |\psi_{\alpha}\rangle \langle \psi_{\alpha}|$$

$$B_{\alpha,ij}(\mathbf{p}) \equiv g_{\alpha,ij}(\mathbf{p}) - \frac{i}{2}\Omega_{\alpha,ij}(\mathbf{p})$$
Quantum metric  
"Fubini-Study metric"

Pedagogical introduction: A. Graf & F. Piéchon, PRB **104**, 085114 (2021)



# For isolated flat bands, the quantum metric provides the superfluid weight



Note: one needs to consider the smallest possible quantum metric

S. Peotta & P. Törmä, Nat. Commun. **6**, 8944 (2015) Törmä, Peotta, Bernevig, Nat. Rev. Phys. (2022) K.E. Huhtinen, *et al.*, PRB **106**, 014518 (2022) ... and many others



# **Approximate flat band**

Flat band picture is an idealisation. Typically:  $\Delta$  vs linewidth  $\delta E$ . Use  $\Delta_{\rm FB} \equiv g\Omega_{\rm FB}/(8\pi)$ 

- If  $\Delta_{\rm FB} > \delta E$ ,  $\Delta \approx \Delta_{\rm FB}$
- For  $\Delta_{\rm FB} \lesssim \delta E$ ,  $\Delta \approx \delta E e^{-1/(g\nu_F)}$

or something more complicated...

Alternative (often takes place roughly simultaneously):

- Conventional:  $D_{s,\text{conv.}} > D_{s,\text{geom}}$
- Flat-band limit:  $D_{s,\text{conv.}} < D_{s,\text{geom}}$



# BKT physics in twisted bilayer graphene



A. Julku, T. Peltonen, L. Liang, TTH, P. Törmä, Phys. Rev. B **101**, 060505 (2020) (see also X. Hu, *et al.*, PRL **123**, 237002 (2019); Xie, *et al.*, PRL **124**, 167002 (2020), Peri, *et al.*, arXiv:2008.02288).



# **Electron-phonon model**

- Previous models independent of the source of attractive interaction
- Eliashberg theory:
- (Retarded) attractive interaction from electron-phonon coupling



 Possibility of including direct Coulomb interactions (Anderson-Morel pseudopotential)

Screened Coulomb; including retardation: coupling constant u, electron-phonon: coupling constant  $\lambda$ 

 $\Rightarrow$  effective BCS interaction  $g = \lambda - u$ 



## Mental picture

Attractive interaction from exchange of a boson with frequency  $\omega_b$ 



Instead of phonons, the boson could also be e.g., magnon

# **Eliashberg theory**

Electron-phonon self-energy  $\Sigma$  in the presence of superconductivity

$$\hat{\Sigma}_{e-ph}(p) = i[1 - Z(p)]\omega_n \tau_3 + \chi(p)\tau_0 + i\hat{\phi}(p)$$
$$\hat{\Delta}(\omega_n) \equiv \frac{\hat{\phi}(\omega_n)}{Z(\omega_n)} \qquad \text{Vector in Matsubara frequencies } \omega_n!$$

Coupled self-consistency equations for  $\phi, Z, \chi$ 

Direct Coulomb: non-retarded interaction  $\phi(\omega_n) = \phi_{\rm ph}(\omega_n) + \phi_{\rm C}$ 

 $\Rightarrow$  competition between attraction ( $\phi_{\rm ph}$ ) and repulsion ( $\phi_{\rm C}$ )

 $\Rightarrow$  solution with the Anderson-Morel pseudopotential

$$|\omega| \leq \omega_c \qquad |\omega| > \omega_c$$

$$\phi_c = \omega + \phi_c$$

 $U^+ = U/(1 + U\chi_{\rm h})$ 





# Electron-phonon model (Eliashberg)

(Mean field, qualitative ideas partially valid also for other interacting states)

Fermi surface:



Flat band:



Eliashberg + Coulomb:

$$T_C \propto (\lambda - u^*)$$

$$\lambda = \frac{g^2}{\omega_E^2} \frac{\Omega_{\rm FB}}{\Omega_{\rm BZ}}, \quad u = \frac{U\Omega_{\rm FB}}{\Omega_{\rm BZ}}$$

Ojajärvi, Hyart, Silaev & TTH, PRB 2018





## **Other correlated states?**

If the BCS interaction  $\lambda - \frac{u}{1+u\chi_h} < 0$ , repulsive interactions dominate



May depend on doping?



## **Other states? Doping dependence?**

#### Insulator state between? Magnetic?



## Independent superconductors and correlated insulators in twisted bilayer graphene

Yu Saito, Jingyuan Ge, Kenji Watanabe, Takashi Taniguchi & Andrea F. Young 🖂

Nature Physics 16, 926-930(2020) | Cite this article

Here: vary the distance to a nearby gate

- $\Rightarrow$  screening of Coulomb interactions is affected
- $\Rightarrow$  changes the insulating phase, not the SC phase!
- $\Rightarrow$  independent mechanisms behind the two!





# We do not yet know the origin of superconductivity in the few-layer graphenes

TBG experiments can be explained if  $\lambda_{\text{eff}} \sim 50 \text{ meV} \cdot (\text{nm})^2$ Wu, das Sarma (several works):  $\lambda_{\text{eph}}^{\text{bare}} \sim 150 \dots 200 \text{ eV} \cdot (\text{nm})^2$ BUT: those values would require  $g_{\text{eph}} > \omega_D$ 

There is still uncertainty of the mechanism - some authors claim it must be an interaction driven by higher order theory from direct Coulomb interactions

Indications: nematic superconductivity, spin triplet superconductivity

# Comparison to experiments



Data from Saito, et al., Nat Phys. (2020) (A. Young's group), angles shifted by  $0.07^{\circ}$ ,  $T_{\rm BKT} = 0.7T_c$ 

Data from Cao, et al., arXiv:2004.04148 (Jarillo-Herrero group), angles shifted by  $0.03^{\circ}, T_{\rm BKT} = 0.7T_c$ 

Caveats: see discussion in Teemu Peltonen's thesis, https://jyx.jyu.fi/handle/123456789/71509

# Experiments



Best fits with  $\lambda \sim 2 \text{ eV}a^2$ 

Wu, MacDonald, Martin, PRL 2018:  $\lambda < 2.7 \text{ eV} a^2$ 

 $\Rightarrow \Delta_{\rm max} \sim 2 \ {\rm meV}$ 

Mean-field  $T_c^{\rm mf} \sim 6 \ {\rm K}$ 

Electron-phonon mechanism with roughly similar  $\lambda$  seems to be consistent with

- trilayer ABC graphene  $T_c$  (Chou, et al. PRL 2021)
- Bernal bilayer  $T_c$  (Chou, et al., arXiv:2110.12303)

(those are **not** in the flat-band regime)

# TBG superconductivity from flat-band perspective?

- Flat-band superconductivity:  $\Delta_{\rm FB} \sim \frac{g\Omega_{\rm FB}}{8\pi} \gtrsim \delta E_{\rm band}$
- TBG:  $\Omega_{\rm FB} = \Omega_{\rm BZ}^{\rm superlattice} \sim \frac{1}{a^2 N} \Rightarrow T_{\rm BKT}, T_c^{\rm mf} \sim {\rm few \ K}$  $N \sim 10^4 {\rm ~at} \ \theta \sim 1.1^{\circ}$
- To increase them, look for systems with a larger  $\Omega_{BZ}!$
- Example: TBG with pressure  $\Rightarrow$  larger  $\theta^{\text{magic}} \Rightarrow$  larger  $T_c$  (?)







## **Coherence length**

- BCS:  $\xi_0 = \frac{\Delta}{\hbar v_F}$ .
- Flat band:  $v_F \to 0!$  However, replace  $\xi_0 \sim k_{\rm FB}^{-1} \sim \Omega_{\rm FB}^{-1/d}$

Kopnin & TTH, arXiv:1210.7075

#### Ascendance of Superconductivity in Magic-Angle Graphene Multilayers

Yiran Zhang<sup>1,2,3\*</sup>, Robert Polski<sup>1,2\*</sup>, Cyprian Lewandowski<sup>2,3</sup>, Alex Thomson<sup>2,3,4</sup>, Yang Peng<sup>5</sup>, Youngjoon Choi<sup>1,2,3</sup>, Hyunjin Kim<sup>1,2,3</sup>, Kenji Watanabe<sup>6</sup>, Takashi Taniguchi<sup>6</sup>, Jason Alicea<sup>2,3</sup>, Felix von Oppen<sup>7</sup>, Gil Refael<sup>2,3</sup>, and Stevan Nadj-Perge<sup>1,2†</sup>

arXiv:2112.09270



 $T_c/T_{c0} = 1 - (2\pi\xi_{\rm GL}^2/\Phi_0)B_{\perp}$ 



# Is superconductivity in graphene-based systems unconventional?

## Pauli-limit violation and re-entrant superconductivity in moiré graphene

Yuan Cao 🖂, Jeong Min Park 🖾, Kenji Watanabe, Takashi Taniguchi & Pablo Jarillo-Herrero 🖾

Nature 595, 526–531 (2021) Cite this article

17k Accesses | 61 Citations | 261 Altmetric | Metrics

#### Fig. 2: Large Pauli-limit violation in MATTG.

From: Pauli-limit violation and re-entrant superconductivity in moiré graphene

 $a \qquad 0 \qquad 2 \qquad 4 \qquad R_{xx} (k\Omega)$ 



#### Nematicity and competing orders in superconducting magic-angle graphene

YUAN CAO (D), DANIEL RODAN-LEGRAIN (D), JEONG MIN PARK (D), NOAH F. Q. YUAN (D), KENJI WATANABE (D), TAKASHI TANIGUCHI (D), RAFAEL M. FERNANDES (D)



Anisotropic magnetoresistance (Breaks C(6) symmetry)



# Pairing symmetry

All graphene models: pairs of flat bands around valleys  $K/K^\prime$ 

⇒ degeneracy between valley-odd and valley-even  $\Delta$ ! ⇒ valley odd consistent with even-spin (spin triplet) pairing!

f-wave superconductivity!





See discussion: Chou, Wu, Sau, Das Sarma, PRL 2021

May explain the recently observed large inplane critical fields (beyond Pauli limit)



## Conclusions

- Increasing  $\nu_F$ : instabilities to interaction-driven correlated states more likely, e.g., superconductivity
- Ultimate limit: flat-band superconductivity  $\Delta \gtrsim \delta E \Rightarrow T_c \sim \Delta \approx \Delta_{FB} = g\Omega_{FB}$
- Independent of pairing mechanism, but may be used to explain graphenebased superconducting states using electron-phonon mediated interaction
- Valley degeneracy  $\Rightarrow$  possibility for spin triplet pairing and breakdown of the Clogston limit
- Increasing  $T_c$ : look for systems with larger  $\Omega_{\text{FB}}$ .

For example: topological insulator with surface polarisation: J. Nissinen, TTH and G.E. Volovik, PRB **103**, 245115 (2021)