# **Overview of Fractional Quantum Hall Effect**

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### **Experimental Systems**







ZnO-based system

Monolayer and Bilayer Graphenes

These are the major quasi two-dimensional electron systems where fractional quantum Hall effect is realized.

- Filling factor is noninteger, specifically,  $v = \frac{N_e}{N_{\Phi}} < 1$
- Quantum Hall Effect was unexpected because all electrons have same kinetic energy and there is no energy gap.
   What does produce the bulk-gap then?



hat does produce the bulk-gap then?

It is the Coulomb interaction that breaks the degeneracy.

$$\nu = \frac{n}{2n \pm 1} \qquad R_H = \frac{h}{e^2 \nu}$$

The Coulomb interaction is now **nonperturbative**, as the kinetic energy of the electrons is quenched.

 $\frac{BL_{x}L_{y}}{hc}$ 

Infact, Coulomb intercation is the **only** energy (*if we ignore spin as* the magnetic field is very high).

Willett et al, PRL, 1987

#### Laughlin's Theory

Hamiltonian:  $H = P_{LLL} \sum_{i < j}^{N} \frac{e^2}{|\vec{r_i} - \vec{r_j}|}$ 

(Nonperturbative)

$$H_0 = \frac{\left(\vec{P} + \left(\frac{e}{c}\right)\vec{A}\right)^2}{2m_b}, \qquad \vec{\nabla} \times \vec{A} = B \hat{z}$$

Physics is independent of the choice of gauge

Symmetric gauge: 
$$\vec{A} = \left(\frac{B}{2}\right)[-y, x, 0]$$

$$z = \frac{(x - iy)}{\ell}, \qquad \ell^{-2} = \frac{eB}{\hbar c}$$

$$H_{0} = \frac{\hbar\omega_{c}}{2} \left[ -4\frac{\partial}{\partial z}\frac{\partial}{\partial z^{*}} + \frac{|z|^{2}}{4} - z\frac{\partial}{\partial z} + z^{*}\frac{\partial}{\partial z^{*}} \right]$$
$$H_{0}|n,l\rangle = \epsilon_{n} |n,l\rangle, \epsilon_{n} = \left(n + \frac{1}{2}\right)\hbar\omega_{c}, \qquad L_{z}|n,l\rangle = \hbar(l-n)|n,l\rangle$$

$$\phi_{0,m}(z,z^*) = \langle z, z^* | 0, m \rangle = \frac{1}{\sqrt{2\pi 2^m m!}} z^m \exp\left[-\frac{|z|^2}{4}\right]$$

Wave functions for the lowest Landau level

$$\left|\phi_{0,m}(z,z^*)\right|^2 = P(r) \sim r^{2m} \exp\left[-\frac{r^2}{2}\right] \qquad \qquad \frac{\partial P(r)}{\partial x} = 0 \Rightarrow r_m = \sqrt{2m} \ \ell$$

Area of an annulus:  $\pi(r_{m+1}^2 - r_m^2) = \pi[2(m+1) - 2m]\ell^2 = 2\pi\ell^2$ 

Total Area = 
$$N_a \ 2\pi\ell^2 \Rightarrow Flux$$
,  $\Phi = B2\pi N_a \ell^2 = \frac{N_a \ hc}{e} = N_a \Phi_0$ 

Flux per annulus is  $\Phi_0 \Rightarrow$  each orbital is associated with one flux quantum.

Degeneracy for the lowest LL:  $N_{\Phi}$ , and per unit area:  $1/2\pi\ell^2$ .

Single-particle orbitals correspond to analytic functions, f(z).



*Wave Function for fillig factor* v = 1:

Filling Factor 
$$v = \frac{N}{N_{\Phi}} = 2\pi n_e \ell^2$$

Noninteracting problem, or, the interaction is unimportant.

$$\Psi_{\nu=1} = \begin{bmatrix} 1 & \cdots & 1\\ \vdots & \ddots & \vdots\\ z_1^N & \cdots & z_N^N \end{bmatrix} \exp\left[-\frac{\sum_{i=1}^N |z_i|^2}{4}\right] = \prod_{i< j=1}^N (z_i - z_j) \exp\left[-\frac{\sum_{i=1}^N |z_i|^2}{4}\right]$$

Fractional Filling factor  $\nu = \frac{1}{3}$ :

*Say*,  $N_{\Phi} = 9$ , N = 3

$$\{\lambda\} \in \frac{9!}{3! \, 6!}$$
 (Lowest Landau Level)

Ground state:

$$\Psi_{\nu=\frac{1}{3}} = \sum_{\{\lambda\}} C_{\lambda} |3 \times 3|_{\lambda}, \qquad Degeneracy is broken due to the Coulomb interaction.$$

 $C_{\lambda}$  may be obtained by the method of exact diagonalization for a few electrons, N < 20.

However, this is not sufficient for complete understanding!

Also, sometimes exact result cannot be understood physically.

### Laughlin's Theory for FQHE

1) Manybody wave function consists of occupying single – particle orbitals.  $\Psi = f(\{z_i\}) \exp\left[-\frac{\sum_{i=1}^{N} |z_i|^2}{4}\right] \qquad \Psi = f(\{z_i\})$ 

2)  $f(\{z_i\})$  should have Jastrow form:  $f(z_i - z_j)$ 

3) Wavefunction should be antisymmetric for the exchange of particles.

$$f(z_i - z_j) = \sum_{\alpha = 1, 3, \dots} \prod_{i < j}^{N} (z_i - z_j)^{\alpha}$$

4) Wavefunction should be eigenstate of total angular momentum as the Hamiltonian is rotationally symmetric.

$$M = \sum_{i=1}^{N} \left( z_i \frac{\partial}{\partial z_i} - z_i^* \frac{\partial}{\partial z_i^*} \right) \Rightarrow \alpha \text{ should be any one odd integer}$$
$$\Psi_L = \prod_{i < j}^{N} \left( z_i - z_j \right)^m, m \text{ odd} - integer}$$

### Laughlin's Theory for FQHE

A **Groundstate** wavefunction for FQHE state:  $\Psi_L = \prod_{i < j}^{N} (z_i - z_j)^m$ ,

Which filling factor v is represented by  $\Psi_L$ ?

Maximum exponent of any  $z_i$ :  $m(N-1) \Rightarrow N_{\Phi} = m(N-1)$ 

The filling factor 
$$v = \lim_{N \to \infty} \frac{N}{N_{\Phi}} = \lim_{N \to \infty} \frac{N}{m(N-1)} = \frac{1}{m}$$

### **Quasiholes and Quasiparticles (Collective Excitations):**

- 1) A quasihole may be created by increasing one unit of flux, i.e.,  $\Phi_0 = hc/e$ .
- **2**) A quasiparticle may be created by decreasing one unit of flux.

**Adiabatic Insertion of one unit of Flux** 

$$\oint \vec{E} \cdot \vec{dl} = -\frac{\partial \Phi}{\partial t} \Rightarrow E_{\phi}(2\pi r) = -\frac{\partial \Phi}{\partial t} \qquad j_r = \sigma_H E_{\phi}, \ \sigma_H = \left(\frac{1}{m}\right) \left(\frac{\partial \Phi}{\partial t}\right)$$

Charge displaced from the center:  $Q = 2\pi r \int j_r dt = -\sigma_H \Phi_0 = -e/m$ 

Charge-Vortex duality: One vortex is associated with e/m charge.

Laughlin's Quasihole Wavefunction:

$$\Psi_L^{qh} = \prod_{i=1}^N (z_i - \eta) \Psi_L^{\frac{1}{m}} = \prod_{i=1}^N (z_i - \eta) \prod_{i< j}^N (z_i - z_j)^m$$

All electrons see a zero at the complex point  $\eta = Re^{-i\theta}$ .

How does this wave function describe quasihole charge of e/m?



Consider a loop of radius R on which  $\eta$  changes from  $\theta = 0$  to  $\theta = 2\pi$  adiabatically.

Acquired Berry Phase: 
$$\gamma = i \oint d\theta \left( \Psi_{qh}^{L} \left| \frac{\partial}{\partial \theta} \right| \Psi_{qh}^{L} \right) = 2\pi N_{encl}$$

Ahronov – Bohm phae for a particle of charge  $e^*$  in magnetic field B:

$$\phi = BA \frac{e^*}{\hbar c} = 2\pi \left(\frac{e^*}{e}\right) N_{\Phi} = 2\pi \left(\frac{e^*}{e}\right) N_{encl} m$$

Equating these two, 
$$e^* = \frac{e}{m}$$

$$\Psi_L^{qh} = \prod_{i=1}^N (z_i - \eta) \Psi_L^{\frac{1}{m}} = \prod_{i=1}^N (z_i - \eta) \prod_{i < j}^N (z_i - z_j)$$

*Quasihole charge* = e/m

 $\Psi_{L}^{qp} = \prod_{i=1}^{N} \left(2\frac{\partial}{\partial z_{i}} - \eta^{*}\right) \prod_{i < j}^{N} \left(z_{i} - z_{j}\right)^{m}$ Quasiparticle charge = -e/m

These quasiparticles and quasiholes obey Abelian fractional statistics:  $\pi/m$ 

 $\boldsymbol{m}$ 



### **Experimental Realization of Fractional Charge**



Saminadayar et al, PRL, 1997

### **Direct observation of anyonic braiding statistics**

Namura, Liang, Gardner and Manfra, Nat. Phys. 931 (2020)



**Fabry-Perot Interferometer** 

# **Fractional statistics in anyon collisions**

H. Bartolomei<sup>1</sup>\*, M. Kumar<sup>1</sup>\*†, R. Bisognin<sup>1</sup>, A. Marguerite<sup>1</sup>‡, J.-M. Berroir<sup>1</sup>, E. Bocquillon<sup>1</sup>, B. Plaçais<sup>1</sup>, A. Cavanna<sup>2</sup>, Q. Dong<sup>2</sup>, U. Gennser<sup>2</sup>, Y. Jin<sup>2</sup>, G. Fève<sup>1</sup>§



Measurement of current correlations resulting the scattering of anyons in beam-splitter.

Rosenow, Levkivskyi, Halperin, 2020

Realization of Abelian Fractional statistics for 1/3 state.

 $H T_S = 0.05$ 

. Theory Ref.(20)

0.8

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Laughlin's Theory for FQHE is very much successful, yet it has limitations:

It cannot describe other fractional states such as 2/5, 3/7,... by any simple generalization.

Composite-Fermion Theory by Jain:  
Hamiltonian: 
$$H = \sum_{j=1}^{N} \frac{\left(\vec{P}_{j} + \left(\frac{e}{c}\right)\vec{A}_{j}\right)^{2}}{2m_{b}} + \sum_{i
Gauge Transformation: 
$$\Psi = \prod_{j

$$H' = \sum_{j=1}^{N} \frac{\left(\vec{P}_{j} + \left(\frac{e}{c}\right)\vec{A}_{j} - \left(\frac{e}{c}\right)\vec{a}_{j}\right)^{2}}{2m_{b}} + \sum_{i
Singular Flux tube: 
$$b_{j} = \vec{\nabla}_{j} \times \vec{a}_{j} = 2 \Phi_{0} \sum_{k\neq j} \delta^{(2)}(\vec{r}_{j} - \vec{r}_{k}) \qquad Particles are still Fermion, called composite Fermion.$$$$$$$$

Mean field (if the electron density is large):  $\langle b \rangle = 2 \Phi_0 n_e$ 

*Effective magnetic field*:  $B^* = B - 2\Phi_0 n_e$  *Filling factor*,  $v = \frac{n_e \Phi_0}{B}$  (fraction of a LL filled)

$$\frac{n_e \Phi_0}{\nu^*} = \frac{n_e \Phi_0}{\nu} - 2\Phi_0 n_e \Rightarrow \nu = \frac{\nu^*}{2\nu^* + 1}, \ (\nu^* \ can \ be \ both + \nu e \ and \ -\nu e)$$

$$If \ \nu^* = \pm n, \qquad \nu = \frac{n}{2n \pm 1} \quad (IQHE \ of \ Composite \ Fermions \Rightarrow FQHE \ of \ electrons)$$

Wavefunction: 
$$\Psi_{\nu} = \prod_{j < k} \left( \frac{z_j - z_k}{|z_j - z_k|} \right)^2 \chi_{\pm n}(\{z_i, z_i^*\})$$

This wavefunction is, however, not correct for two different reasons:

- 1) Phase term will not be important for electron density distribution.
- 2) Wavefunction in the lowest Landau level must be analytic.

*Modification*: 
$$\Psi_{\nu} = P_{LLL} \prod_{j < k} (z_j - z_k)^2 \chi_{\pm n}(\{z_i, z_i^*\})$$
 (Vortices are not singular flux tubes, they have got some finite size)

Jain, PRL, 1989

This is the composite fermion wavefunction proposed by Jain for FQHE states in the LLL (After projection, this wavefunction becomes formally complicated)



### **Connection between Laughlin and Composite Fermion Theories**

$$O_L = \prod_j \frac{\partial}{\partial z_j} \quad \rightarrow \qquad O_{qp} = \sum_j \left(\frac{\partial}{\partial z_j} \prod_{l \neq j} \frac{\partial}{\partial (z_l - z_j)}\right)$$

Mandal, JPCM, 2018



### **Possibility of non-Abelian FQHE in the lowest Landau level**

Exact ground-state of certain kind of **three-body** potential.

(a)

Simon et al, PRB, 2007

$$U_{i,j} = z_i - z_j$$

$$\Psi_{m-Gf}^{2/5} = \prod_{i < j \le N} U_{i,j}^2 \mathcal{A} \left[ \left( \prod_{k < l \le N/2} U_{k,l} \ U_{k+N/2,l+N/2} \right) \right. \\ \left. \times \left( \prod_{m \le N/2} \frac{1}{U_{m,m+N/2}} \right) \sum_{l_1 < l_2 \le N/2} \left( \frac{U_{l_1,l_1+N/2}^2 U_{l_2,l_2+N/2}^2}{U_{l_1,l_2}^2 U_{l_1+N/2,l_2+N/2}^2} \right) \right]$$

Das, Das, SSM, PRB(Letter), 2022

$$H_{\alpha} = \alpha V_{3-b} + (1-\alpha)V_C \qquad \Psi_{\text{Hybrid}}^{2/5} = C_1 \Psi_{\text{Gf}}^{2/5} + C_2 \Psi_{\text{m-Gf}}^{2/5}$$

- The Hybrid wavefunction behaves quite same as way as the composite fermion wavefunction.
- However, while the nature of quasiparticles in the composite fermion theory is Abelian, the quasiparticle nature corresponding to the hybrid wavefunction is non-Abelian.
- Two-body Coulomb interaction along with a suitable 3-body interaction may provide the FQHE state as non-Abelian for 2/5 state in the lowest Landau level.

### Filling Factor 1/2

$$v = \frac{n}{2n \pm 1} \Rightarrow v = \frac{1}{2} as n \to \infty$$
 Bulk gap  $\propto \frac{1}{n} = 0$   $B^* = 0$ 

At  $\frac{1}{2}$  filling, the composite fermions feel zero net magnetic field.

Therefore, weakly interacting CFs at zero net magnetic field should also form Fermi surface.

# Near $\frac{1}{2}$ filling, cyclotron orbit of particles should be determined by $B^*$ .

This fact is experimentally observed by elegantly designed experimental setups!

Filling factor ½ is **not** a quantized fractional quantum Hall state.

# **Fractional Quantum Hall States in the Second Landau Level**



States are not in the sequence of the lowest Landau level!

Willett et al, PRL, 1987

# **Early Theoretical Understanding of ½ Filled Second LL**

• Electron Operator: 
$$\psi_e(z) = e^{i\sqrt{2}\phi(z)}\psi(z)$$
  
Charged Bosonic operator  
Neutral Majorana-Fermionic operator  
Neutral Majorana-Fermionic operator  
Moore and Read, NPhB, 1991  
• Wave Function:  $\Psi_{MR}(z_1, \dots, z_N) = \langle \psi(z_1) \dots \psi(z_N) \rangle \langle \prod_i e^{i\sqrt{2}\phi(z_i)} e^{-i\sqrt{2}\rho_0 \int dr'\phi(z')} \rangle$   
Pfaffian Order:  
 $Pf\left(\frac{1}{z_i - z_j}\right) \prod_{\substack{i < j \\ i < j}}^{N} (z_i - z_j)^2 \exp(-\sum_i |z_i|^2/4)$   
Composite fermion

Pairing causes Bulk-gap and bulk-edge correspondence provides a neutral Majorana mode along with bosonic charge mode.

NonAbelian braiding statistics of quasiparticles.

Quasiparticle Charge: e/4, instead of e/2

1 . . .

Fusion:  $\Phi_i \times \Phi_j = \sum_k N_{ij}^k \Phi_k$ 

# **Experimental Observations of Fractional Charge of Quasiparticles**



However, there are several other topological orders, including Abelian, also predict  $e^* = e/4$  charge.

So, e/4 charge is not conclusive for Pfaffian order.

Dolev et al, 2008

# Theoretical Debate for 5/2 state

- Fractional charge e/4 has been predicted for several other models including Abelian theory for 5/2 state.
- Particle-Hole conjugate of **MR Pfaffian** state, called **Anti-Pfaffian**, is degenerate with it for Coulomb potential.
  - Landau-Level-Mixing induced 3-body interaction can lift this degeneracy.
     APf is topologically distinct from Pf: The former provides upstream Majorana mode.

Lee et al, PRL,2007 Levin et al, PRL,2007

Very hard to predict the clear picture as their energies are very close.

• Another competing state: **Particle-Hole symmetric Pfaffian** (PHPf) predicting Majorana mode.

Son, PRX,2015

Zucker and Feldman, PRL, 2016

• These competing states can be distinguished in the measurement of **thermal Hall conductance** through edge.



# **Measurement of Thermal Hall Conductivity**



In contrast to the numerical verdict, measurement suggests PHPf topological order!!



- No clear understanding of experimental results.
- Numerical studies are generally for relatively low values of Landau-level-mixing (LLM) parameter: κ < 1, while experimental systems typically have κ = 0.8 - 1.8.

$$\kappa = \frac{\frac{e^2}{\epsilon \ell_0}}{\hbar \omega_c}$$

$$\begin{split} \hat{H}_{\text{eff}}(\kappa) &= \sum_{m} \left[ V_m^{(2)} + \kappa \, \delta V_m^{(2)} \right] \sum_{i < j} \hat{P}_{ij}(m) & V_m^{(2)} \Rightarrow \text{Coulomb pseudopotentials in the second LL.} \\ &+ \sum_{m} \kappa \, V_m^{(3)} \sum_{i < j < k} \hat{P}_{ijk}(m), & \delta V_m^{(2)} \Rightarrow \text{two body pseudopotentials arising due to LLM.} \\ &\delta V_m^{(3)} \Rightarrow \text{three body pseudopotentials arising due to LLM.} \end{split}$$

Peterson and Nayak, PRB, 2013

The pseudopotentials are estimated for  $m \le 8$  diagrammatically, using  $\kappa$  as perturbing parameter, i. e., terms with  $\kappa^2$  are ignored.

Unless  $\kappa$  is too high or comparing energies with competing states, these pseudopotentials still may be used for moderate  $\kappa$  for qualitative physics.

# **Phase Transition into a Re-entrant Quantized Phase**

- Exact diagonalization at different values of  $\kappa$  :  $\Psi_{EX}(\kappa_i)$
- Three body pseudopotentials for  $m \leq 8$ .
- If found quantized (Groundstate at L=0) :  $O_{ij} = \langle \Psi_{EX}(\kappa_i) | \Psi_{EX}(\kappa_j) \rangle$



Clear separation of two quantized phases, irrespective of three flux shifts.

Das, Das and **SSM**, arXiv:2206.0441

# **Proposed Ground State wave function for the Novel Phase**

$$\Psi = \prod_{i < j}^{N} U_{i,j} S \left[\prod_{k=1}^{\frac{N}{2}} \prod_{l=\frac{N}{2}+1}^{N} U_{k,l}^{2}\right]$$

- Composite bosons segregate (but no physical segregation) themselves into two groups.
- Intra-group non-interacting composite bosons form BEC.
- Inter-group composite bosons strongly repel each other.
- Like other non-Abelian FQHE ground state wave functions, ignoring minimal ubiquitous Pauli-exclusion term, the rest is nonzero when two or more particles coincide.

 $\Psi$  is consistent with PHPf – shift, 2Q = 2N - 1

However,  $\Psi$  doesn't have particle – hole symmetry.



- Here, macroscopic N/2 particles may coincide.
- The proposed wave function Is possibly non-Abelian in nature.

### **Entanglement Spectra**



The proposed wave function captures the topological order of the state.

# **Topological Properties of the Proposed Wave Function**

$$\Psi(\{u_i, v_i\}) = \prod_{i < j}^{N} (u_i v_j - u_j v_i)$$

$$\times S \left[ \prod_{1 \le k, l \le N/2} (u_k v_{N/2+l} - u_{N/2+l} v_k)^2 \right]$$

$$K = \begin{pmatrix} 1 & 3 \\ 3 & 1 \end{pmatrix}, \quad t = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad l = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad s = \begin{pmatrix} 1/2 \\ 1/2 \end{pmatrix}$$

• Filling factor: 
$$v = t^T K^{-1} t = 1/2$$

 $\Lambda T$ 

• Quasihole charge: 
$$q/e = l^T K^{-1}t = 1/4$$

• Topological Shift: 
$$S = \left(\frac{2}{\nu}\right) t^T K^{-1} s = 1$$

• Groundstate Degeneracy:  $D = |Det(K)|^g = 8^g$ 

• Eigenvalues of K: One + ve and one - ve  $\Rightarrow$  Central Charge C = 0

- Macroscopic  $\frac{N}{2}$  bosons may coincide.
- Hidden  $Z_2$  symmetry.

• Neutral mode with central charge C = 1/2

$$q = e, \kappa = 1$$

$$q = e, \kappa = 1$$

$$C = 3 - 1 + 1/2$$

$$q = e/4, \kappa = 1$$

$$q = 0, \kappa = 1$$

$$\kappa_T = 2.5 \left(\frac{\pi^2 k_B^2 T}{3h}\right)$$

### OUTLOOK

 The topological orders for the quantum Hall states in the second Landau level at moderate to high Landau-level-mixing strength needs to be explored for knowing true nature of non-Abelian FQHE states.

- The nature of transitions between two consecutive IQHE/FQHE states is yet unexplored.
- Nonequilibrium phenomenon between two consecutive IQHE/FQHE states should also be an interesting direction.